

10/26/01  
31064 U.S. PTO


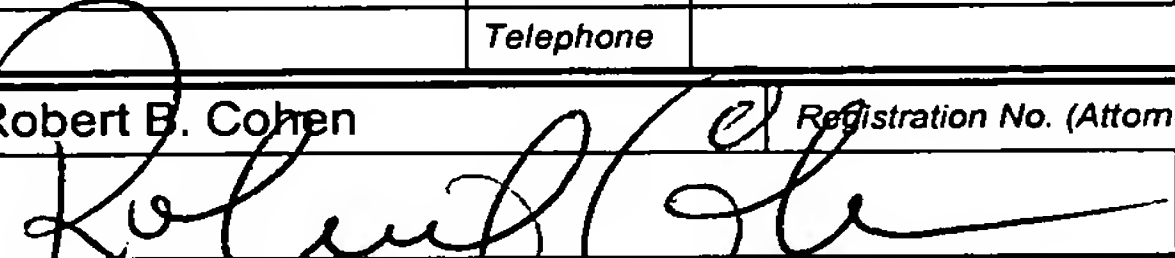
12-05-01

A

Please type a plus sign (+) inside this box 

PTO/SB/05 (03-01)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| UTILITY<br>PATENT APPLICATION<br>TRANSMITTAL  |  | Attorney Docket No. SCEI 3.0-093   |                  |
|---|--|--|------------------|
| (Only for new nonprovisional applications under 37 CFR 1.53(b))   |  | First Inventor Tadashi Nakamura  |                  |
|   |  | Title ELECTRONIC EQUIPMENT AND, etc.   |                  |
|   |  | Express Mail Label No. EL807554607US   |                  |
| APPLICATION ELEMENTS<br>See MPEP chapter 600 concerning utility patent application contents.  |  | ADDRESS<br>Commissioner for Patents<br>Washington, DC 20231  |                  |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br>(Submit an original, and a duplicate for fee processing)   |  | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or<br>Computer Program (Appendix)   |                  |
| 2. <input type="checkbox"/> Applicant claims small entity status.<br>See 37 CFR 1.27.   |  | 8. Nucleotide and/or Amino Acid Sequence Submission<br>(if applicable, all necessary)  |                  |
| 3. <input checked="" type="checkbox"/> Specification [Total Pages 16]<br>(preferred arrangement set forth below) <ul style="list-style-type: none"><li>- Descriptive title of the invention</li><li>- Cross Reference to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to sequence listing, a table,<br/>or a computer program listing appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul>   |  | a. <input type="checkbox"/> Computer Readable Form (CRF)   |                  |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 7]  |  | b. Specification Sequence Listing on:<br>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper            |                  |
| 5. Oath or Declaration [Total Pages ]<br>a. <input type="checkbox"/> Newly executed (original or copy)  |  | c. <input type="checkbox"/> Statements verifying identity of above copies  |                  |
| b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br>(for continuation/divisional with Box 18 completed)   |  | ACCOMPANYING APPLICATIONS PARTS  |                  |
| i. <input type="checkbox"/> DELETION OF INVENTOR(S)<br>Signed statement attached deleting<br>inventor(s) named in the prior application,<br>see 37 CFR 1.63(d)(2) and 1.33(b).  |  | 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))  |                  |
| 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76   |  | 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement [Power of Attorney]<br>(when there is an assignee)   |                  |
|   |  | 11. <input type="checkbox"/> English Translation Document (if applicable)  |                  |
|   |  | 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 [Copies of IDS Citations]   |                  |
|   |  | 13. <input type="checkbox"/> Preliminary Amendment   |                  |
|   |  | 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br>(Should be specifically itemized)                                  |                  |
|   |  | 15. <input type="checkbox"/> Certified Copy of Priority Document(s)<br>(if foreign priority is claimed)  |                  |
|   |  | 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i).<br>Applicant must attach form PTO/SB/35 or its equivalent. |                  |
|   |  | 17. <input checked="" type="checkbox"/> Other: Unexecuted Declaration  |                  |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:<br><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.:<br>Prior application information: Examiner Group / Art Unit:<br>For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. |  |  |                  |
| 19. CORRESPONDENCE ADDRESS  |  |  |                  |
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label   |  | <br>000530  |                  |
|   |  | or <input type="checkbox"/> Correspondence address below   |                  |
| Name  |  |  |                  |
| Address   |  |  |                  |
| City  |  | State  | Zip Code         |
| Country   |  | Telephone  | Fax              |
| Name (Print/Type) Robert B. Cohen   |  | Registration No. (Attorney/Agent) 32,768   |                  |
| Signature   |  | Date   | October 26, 2001 |

# FEE TRANSMITTAL for FY 2002

*Patent fees are subject to annual revision.***Complete if Known**

|                      |                  |
|----------------------|------------------|
| Application Number   | Not Yet Assigned |
| Filing Date          |                  |
| First Named Inventor | Tadashi Nakamura |
| Examiner Name        | Not Yet Assigned |
| Group Art Unit       | N/A              |
| Attorney Docket No.  | SCEI 3.0-093     |

**TOTAL AMOUNT OF PAYMENT** (\$) 992.00**METHOD OF PAYMENT**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

12-1095

Deposit  
Account  
NameLerner, David, Littenberg,  
Krumholz & Mentlik, LLP☒ Charge Any Additional  
Fee Required Under  
37 CFR 1.16 and 1.17☐ Applicant claims small  
entity status. See  
37 CFR 1.27

2. ☐ Payment Enclosed

☐ Check☐ Credit Card☐ Money Order☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity

Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description        | Fee Paid |
|----------|----------|----------|----------|------------------------|----------|
| 101      | 740      | 201      | 370      | Utility filing fee     | 740.00   |
| 106      | 330      | 206      | 165      | Design filing fee      |          |
| 107      | 510      | 207      | 255      | Plant filing fee       |          |
| 108      | 740      | 208      | 370      | Reissue filing fee     |          |
| 114      | 160      | 214      | 80       | Provisional filing fee |          |

**SUBTOTAL (1)** (\$) 740.00**2. EXTRA CLAIM FEES**

| Total Claims       | Extra Claims | Fee from below | Fee Paid           |
|--------------------|--------------|----------------|--------------------|
| 8                  | -20** =      | X              |                    |
| Independent Claims | 6            | -3** =         | 3 X 84.00 = 252.00 |
| Multiple Dependent |              |                |                    |

Large Entity

Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description  |
|----------|----------|----------|----------|--|
| 103      | 18       | 203      | 9        | Claims in excess of 20                                     |
| 102      | 84       | 202      | 42       | Independent claims in excess of 3                          |
| 104      | 280      | 204      | 140      | Multiple dependent claim, if not paid                      |
| 109      | 84       | 209      | 42       | ** Reissue independent claims over original patent         |
| 110      | 18       | 210      | 9        | ** Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2)** (\$) 252.00

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity

Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description  | Fee Paid |
|----------|----------|----------|----------|--|----------|
| 105      | 130      | 205      | 65       | Surcharge - late filing fee or oath  |          |
| 127      | 50       | 227      | 25       | Surcharge - late provisional filing fee or cover sheet.                    |          |
| 139      | 130      | 139      | 130      | Non-English specification  |          |
| 147      | 2,520    | 147      | 2,520    | For filing a request for <i>ex parte</i> reexamination                     |          |
| 112      | 920*     | 112      | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 113      | 1,840*   | 113      | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 115      | 110      | 215      | 55       | Extension for reply within first month                                     |          |
| 116      | 400      | 216      | 200      | Extension for reply within second month                                    |          |
| 117      | 920      | 217      | 460      | Extension for reply within third month                                     |          |
| 118      | 1,440    | 218      | 720      | Extension for reply within fourth month                                    |          |
| 128      | 1,960    | 228      | 980      | Extension for reply within fifth month                                     |          |
| 119      | 320      | 219      | 160      | Notice of Appeal   |          |
| 120      | 320      | 220      | 160      | Filing a brief in support of an appeal                                     |          |
| 121      | 280      | 221      | 140      | Request for oral hearing   |          |
| 138      | 1,510    | 138      | 1,510    | Petition to institute a public use proceeding                              |          |
| 140      | 110      | 240      | 55       | Petition to revive - unavoidable   |          |
| 141      | 1,280    | 241      | 640      | Petition to revive - unintentional   |          |
| 142      | 1,280    | 242      | 640      | Utility issue fee (or reissue)   |          |
| 143      | 460      | 243      | 230      | Design issue fee   |          |
| 144      | 620      | 244      | 310      | Plant issue fee  |          |
| 122      | 130      | 122      | 130      | Petitions to the Commissioner  |          |
| 123      | 50       | 123      | 50       | Processing fee under 37 CFR 1.17(q)  |          |
| 126      | 180      | 126      | 180      | Submission of Information Disclosure Stmt                                  |          |
| 581      | 40       | 581      | 40       | Recording each patent assignment per property (times number of properties) |          |
| 146      | 740      | 246      | 370      | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 149      | 740      | 249      | 370      | For each additional invention to be examined (37CFR 1.129(b))              |          |
| 179      | 740      | 279      | 370      | Request for Continued Examination (RCE)                                    |          |
| 169      | 900      | 169      | 900      | Request for expedited examination of a design application                  |          |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$) 0.00**SUBMITTED BY**

Name (print/type) Robert B. Cohen

Registration No.  
(Attorney/Agent)

32,768

**Complete (if applicable)**

Telephone (908) 518-6316

Signature

Date

October 26, 2001